

# Optimal energy decay rate for the damped wave equations on $\mathbb{T}^2$

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# The damped wave equation

Damped wave equation on a compact manifold  $M$  without boundary:

$$\begin{cases} \partial_t^2 u - \Delta_g u + a(x)\partial_t u = 0, & (t, x) \in \mathbb{R}_+ \times M, \\ (u, \partial_t u)|_{t=0} = (u_0, u_1) \in \dot{H}^1(M) \times L^2(M) \end{cases}$$

$u(t, x) \in \mathbb{R}$ ,  $a \in C(M)$   $a(x) \geq 0$  and  $\int_M a > 0$ .

► Energy:

$$E[u](t) := \frac{1}{2} \int_M |\partial_t u|^2 + |\nabla_g u|^2 dx.$$

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► Dissipation:

$$\begin{aligned} \frac{d}{dt} E[u](t) &= \int_M \partial_t u \partial_t^2 u + \nabla_g \partial_t u \cdot \nabla_g u dx \\ &= \int_M \partial_t u (\partial_t^2 u - \Delta_g u) dx \\ &= - \int_M a(x) |\partial_t u|^2 dx \leq 0. \end{aligned}$$

- Main question: Uniform energy decay rate in terms of the size of initial data?
- It turns out that the rate is related to the underlying manifold (global geometry) and the vanishing property of  $a(x)$  (local geometry).

## Well-posedness as contraction semigroup

- ▶ The damped wave equation can be written as

$$\partial_t U(t) = \mathcal{A}U(t)$$

on  $\mathcal{H} := \dot{H}^1(M) \times L^2(M)$ , where  $U(t) = (u(t), \partial_t u(t))^t$  and

$$\mathcal{A} = \begin{pmatrix} 0 & 1 \\ \Delta_g & -a(x) \end{pmatrix}.$$

- ▶  $\mathcal{A}$  is m-dissipative  $\implies (e^{t\mathcal{A}})_{t \geq 0}$  is a contraction semigroup.

$$E[u(t)] = \frac{1}{2} \|e^{t\mathcal{A}}(u_0, u_1)\|_{\mathcal{H}}^2.$$

- ▶ By the **unique continuation theorem** of the Laplace operator, we have  $\text{Spec}(\mathcal{A}) \cap i\mathbb{R} = \emptyset$ . Indeed, if  $i\lambda \in \text{Spec}(\mathcal{A})$  for some  $\lambda \in \mathbb{R}$  and  $(u, v)^t$  is the corresponding eigenvector (non-constant), then

$$-\Delta u - \lambda^2 u + ia(x)\lambda u = 0.$$

W.L.O.G., assume that  $\lambda \neq 0$ . Then

$$\int_M a(x)|u|^2 dx = 0, \implies u \equiv 0 \text{ on } \omega := \{a > 0\}.$$

Thus  $-\Delta u = \lambda^2 u$ , a.e. Thus UCP  $\implies u \equiv 0$ .

# Semigroup decay=Resolvent estimate

Resolvent:  $R(z) := (z - \mathcal{A})^{-1}$ ,  $z \in \mathbb{C}$ .

- **Gearhart's theorem:** For  $\mathcal{A}$  such that  $\text{Spec}(\mathcal{A}) \cap i\mathbb{R} = \emptyset$ , there is an equivalence between

(a)  $\|e^{t\mathcal{A}}(u_0, v_0)\|_{\mathcal{H}} \leq e^{-ct} \|(u_0, v_0)\|_{\mathcal{H}}$

(b)  $\|R(i\lambda)\|_{\mathcal{L}(\mathcal{H})} \leq C$ , for all  $\lambda \in \mathbb{R}$ ,  $|\lambda| \gg 1$ .

- **Borichev-Tomilov's theorem:** For  $\mathcal{A}$  such that  $\text{Spec}(\mathcal{A}) \cap i\mathbb{R} = \emptyset$ , there is an equivalence between

(a)  $\|e^{t\mathcal{A}}(u_0, v_0)\|_{\mathcal{H}} \leq C t^{-\frac{1}{\alpha}} \|(u_0, v_0)\|_{H^2 \times H^1}$ , for  $t \geq 1$ ;

(b)  $\|R(i\lambda)\|_{\mathcal{L}(\mathcal{H})} \leq C(1 + |\lambda|)^{\alpha}$ , for  $\lambda \in \mathbb{R}$ ,  $|\lambda| \gg 1$ .

- The matrix resolvent estimate

$$\|R(i\lambda)\|_{\mathcal{L}(\mathcal{H})} \leq C(1 + |\lambda|)^{\alpha}$$

is equivalent to the scalar resolvent estimate (writing  $h = \lambda^{-1} \ll 1$ )

$$\|P_{h,a}^{-1}\|_{\mathcal{L}(L^2)} \leq Ch^{-\alpha-1}, \quad (1)$$

where  $P_{h,a} = -h^2\Delta - 1 + iha(x)$ . This allows us to apply tools in semiclassical analysis. See [Anantharaman-Léataud '14](#) for details.

# Semiclassical limits of quasimodes: I

- ▶ Reduction to quasimode: If (1) is not true, then there exists a sequence of quasimodes  $(\psi_h)$  such that

$$P_{h,a}\psi_h := (-h^2\Delta - 1 + iha(x))\psi_h = o_{L^2}(h^{\alpha+1}), \quad \|\psi_h\|_{L^2} = 1.$$

- ▶ Semi-classical limits of  $(\psi_h)$  are **Radon measures** on the phase space  $T^*M$ .

For the moment, consider a sequence of  $o(h)$  quasi-modes:

$$P_{h,a}\psi_h := (-h^2\Delta - 1 + iha(x))\psi_h = o_{L^2}(h).$$

- **Existence of semiclassical measures (Gérard, Tartar):** By extracting a subsequence of  $(\psi_h)_{h>0}$ , there exists a Radon probability measure  $\mu$ , such that for any symbol  $b \in C_c^\infty(T^*M)$ ,

$$\langle \text{Op}_h(b)\psi_h, \psi_h \rangle_{L^2(M)} \rightarrow \int_{T^*M} b(x, \xi) \mu(dx d\xi),$$

where  $\text{Op}_h(b)$  is the standard quantization of the symbol  $b$ .

## Semiclassical limits: II

The fact that  $\psi_h$  are  $o(h)$  quasimodes implies:

- ▶  $\text{supp}(\mu) \subset S^*M := T^*M \cap \{|\xi|_g = 1\}$ .
- ▶  $\mu$  is invariant along the geodesic flow  $\varphi_t$  on  $S^*M$ .
- ▶  $a\mu \equiv 0$ . This can be deduced from the a priori estimate:

$$\langle a(x)\psi_h, \psi_h \rangle \leq h^{-1} \text{Im} |\langle \psi_h, P_{h,a}\psi_h \rangle|.$$

In summary, the resolvent estimate we want to study is equivalent to prove the following statement:

- ▶ (S): There is no semiclassical measure  $\mu$  for  $o(h^{1+\alpha})$  quasimodes  $\psi_h$  of  $P_{h,a}$ .

And the **optimality** of the resolvent estimate is equivalent to find some  $O(h^{1+\alpha})$  quasimodes.

- At this stage, it turns out that the global geometry of  $M$  and the vanishing order of  $a(x)$  play definitive roles.

## Geometric Control Condition (GCC)

Theorem (Rauch-Taylor ( $\partial M = \emptyset$ ), Bardos-Lebeau-Rauch ( $\partial M \neq \emptyset$ ))

Assume that  $a \in C(M)$  and  $\omega := \{x : a(x) > 0\}$  satisfies the **geometric control condition (GCC)**, then we have (S) with  $\alpha = 0$ . Equivalently, we have the resolvent estimate

$$\|P_{h,a}^{-1}\|_{\mathcal{L}(L^2)} \leq Ch^{-1}.$$

By Gearhart's theorem, the energy of the damped wave equation decays exponentially:  $E[u](t) \leq Ce^{-ct}E[u](0)$  for all  $t \geq 0$ .

# Geometric Control Condition (GCC)

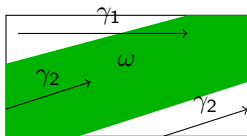
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$\omega$  satisfies (GCC) means: there exists  $T_0 > 0$ , such that every geodesics of length  $T > T_0$  passes through  $\omega$ .

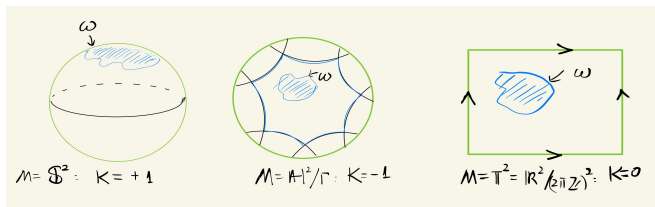


Example of  $\mathbb{T}^2$ : GCC is satisfied

## Beyond GCC: Three model surfaces (compact)

- ▶ When  $\bar{\omega}$  violates (GCC), the resolvent bound cannot be  $O(h^{-1})$ , and we are obliged to analyze finer quasi-modes  $o(h\epsilon_h)$ ,  $\epsilon_h \rightarrow 0$ .
- ▶ Quasi-modes with smaller width (in order)  $o(h\epsilon_h)$  requires finer microlocalization along the trapped geodesics. At this stage, the **dynamical property** of the geodesic flow  $\varphi_t$  plays an important role!

# Beyond GCC: Three model surfaces (compact)



$\omega$ violates (GCC), $\ P_{h,b}^{-1}\ _{L^2(M)} \sim g(h)$		
	energy decay rate $f(t)$	resolvent bound $g(h)$
$M = \mathbb{S}^2$	$1/\log(1+t)$	$e^{c/h}$ [1]
$M = \mathbb{H}^2/\Gamma$	$e^{-c't}$ losing derivatives	$h^{-1} \log(h^{-1})$ [2]
$M = \mathbb{T}^2$	$t^{-1/\alpha}$	$h^{-1-\alpha}$ , $\alpha$ close to 1 for regular $a$ [3][4][5][6]

## References:

[1] Lebeau (1996), [2] Dyatlov-Jin (2018), [3] Burq-Hitrik (2005), [4] Anantharaman-Léautaud (2014), [5] Datchev-Kleinhenz (2020), [6] S. (2021).

# Damped wave operator on $M = \mathbb{T}^2 = \mathbb{R}^2 / (2\pi\mathbb{Z})^2$

Denote by

$$f(t) = \sup_{(u_0, v_0) \in H^2 \times H^1} \frac{\|e^{tA}(u_0, v_0)\|_{\mathcal{H}}}{\|(u_0, v_0)\|_{H^2 \times H^1}}.$$

the optimal decay rate of semi-group.

- ▶ When (GCC) is violated by  $\bar{\omega}$ , where  $\omega = \{a > 0\}$  and  $\bar{\omega} \neq \mathbb{T}^2$ , one cannot expect that the order of  $f(t)$  is better than  $O(t^{-1})$ .
- ▶ It turns out that the optimal order of  $f(t)$  depends on the vanishing behavior of  $a(x)$  near  $\Sigma := \partial\{a > 0\}$ :
  - ▶ **Anantharaman-Léautaud 2014**: For general  $a \in W^{N, \infty}$  and  $|\nabla a| \lesssim a^{1-\frac{1}{\beta}}$  with  $N, \beta \gg 1$ ,  $f(t) \lesssim t^{-1+\frac{C}{\beta}}$ .  
(Earlier contribution by **Burq-Hitrik** for 1D damping)
  - ▶ **Datchev-Kleinhenz 2020**: When  $a(x) = a(x_1) \sim x_1^\beta$  near  $x_1 = 0$  and  $\bar{\omega} \neq \mathbb{T}^2$ ,  $f(t) \sim t^{-1+\frac{1}{\beta+3}}$ , optimal (for all  $\beta \geq 0$ )!  
(Earlier contribution by **R. Stath** for the case  $\beta = 0$ )
- ▶ **S.**: It turns out the curvature of  $\Sigma = \partial\{a > 0\}$  also plays a role for the optimal decay rate. In particular, the strictly convex damping region can better stabilize the wave!

# The Strictly Convex damping region

## Theorem (C. S., IMRN, 2022)

Let  $\beta > 4$ . Assume that the damping  $a \geq 0$  has *nice vanishing property* and the open set  $\omega := \{z \in \mathbb{T}^2 : a(z) > 0\}$  is locally strictly convex. Assume that  $a(z)$  is locally Hölder of order  $\beta$  near  $\partial\omega$ , in the sense that there exists  $R_0 > 1$ , such that

$$\frac{1}{R_0} \text{dist}(z, \partial\omega)^\beta \leq a(z) \leq R_0 \text{dist}(z, \partial\omega)^\beta, \quad \text{for } z \in \omega \text{ near } \partial\omega.$$

Then the energy decay rate of the damped wave equation satisfies  $f(t) \leq Ct^{-1 + \frac{2}{2\beta+7}}$ . Moreover, there are examples of strictly convex dampings  $a(x)$  such that the above rate is saturated.

- ▶ The decay rate is better than the optimal decay rate  $t^{-1 + \frac{1}{\beta+3}}$  for the rectangular-shaped  $\omega$ .

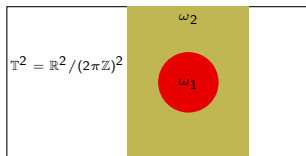
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The damping  $a_1$  generates better decay rate than  $a_2$

$$a_1(x) = (0.1 - |(x_1, x_2)|)_+^\beta, \quad a_2(x) = (0.5 - |x_1|)_+^\beta$$

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- ▶ The reason behind is the averaging property of  $a(x)$  along the geodesic flow. As the support of  $a$  is strictly convex, we gain  $1/2$  Hölder regularity locally near the place where  $a = 0$ .
- ▶ The proof is different from the proof in the article of [Anantharaman-Léautaud](#).

## Reduce to a second microlocalization problem

- Assume that the quasi-modes  $u_h$  satisfy

$$(-h^2\Delta - 1 + iha)u_h = o_{L^2}(h^{2+\delta}).$$

- A priori estimates:

$$\int_{\mathbb{T}^2} |h\nabla u_h|^2 - |u_h|^2 = o(h^{2+\delta}), \quad \int_{\mathbb{T}^2} a|u_h|^2 = o(h^{1+\delta}).$$

- Semiclassical measures  $\mu$  of  $(u_h)$  are invariant along the geodesic flow

on  $\mathbb{T}_{x,y}^2 \times \mathbb{S}_{\xi,\eta}^2$ . Hence  $\mu = 0$  when restricting on irrational directions. To obtain a contradiction, it suffices to show that  $\mu|_{\mathbb{T}^2 \times \Xi} = 0$  for any rational direction  $\Xi$ .

- W.L.O.G, we assume that trapped direction is  $(0, 1)$  and the quasi-modes are localized at scales  $|D_y| \sim h^{-1}, |D_x| \ll h^{-1}$ .
- We have to do a second microlocalization according to the size of  $|D_x|$ :
  - ▶ Transversal high frequencies (TH):  $|D_x| \gtrsim h^{-\frac{1+\delta}{2}}$
  - ▶ Transversal low frequencies (TL):  $|D_x| \ll h^{-\frac{1+\delta}{2}}$

# High-level summary of the proof

- (TH) contributions can be ruled out by a positive commutator method.
- Using the Birkhoff norm form to average the damping and reduce it to dimension 1 in the TL regime (inspired by the work of [Hitrik-Sjöstrand](#) and [Burq-Zworski](#)).
- Using the known sharp resolvent estimate for the 1D damping (by [Datchev-Kleinhenz](#)).
- Key geometric point: averaging along any direction for the convex-shaped damping will gain  $1/2$  vanishing order.
- The construction of quasi-modes that saturated the optimal resolvent bound follows from the inverse of the above procedure.

# The averaging method

- **Ansatz:** Find  $Q_h := q(x, y, hD_y)$  and conjugate with the principal operator

$$P_{h,a} := -h^2 \Delta - 1 + iha(x, y)$$

and change the quasimodes:  $u_h \mapsto e^{Q_h} u_h$ .

$$\begin{aligned} e^{Q_h} P_{h,a} e^{-Q_h} &= P_{h,a} - ih \cdot \frac{i}{h} [Q_h, P_{h,a}] + \frac{1}{2} [Q_h, [Q_h, P_{h,a}]] + O_{\mathcal{L}(L^2)}(h^3) \\ &= -h^2 \Delta - 1 + iha + ih \operatorname{Op}_h(2\eta \partial_y q) + O_{\mathcal{L}(L^2)}(h^3) \\ &\quad + ih \operatorname{Op}_h(2\xi \partial_x q) + ih [Q_h, a] + \frac{1}{2} [Q_h, [Q_h, P_{h,a}]] \end{aligned}$$

- Solving the cohomological equation (up to a cutoff away from  $\eta = 0$ )

$$2\eta \partial_y q = -(a - \mathcal{A}(a)(x)), \quad \mathcal{A}(a)(x) := \int_{\mathbb{T}} a(x, y) dy.$$

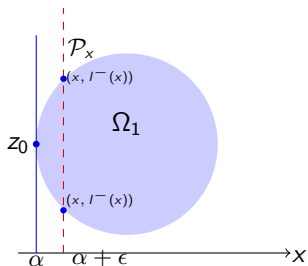
- The red terms are priori NOT remainders! What saves us is the a priori estimate

$$\|a^{\frac{1}{2}}(e^{Q_h} u_h)\|_{L^2} = o(h^{\frac{1+\delta}{2}})$$

and a bootstrap argument yields also

$$\|\mathcal{A}(a)^{\frac{1}{2}}(e^{Q_h} u_h)\|_{L^2} = o(h^{\frac{1+\delta}{2}}).$$

## Gain of vanishing order



Averaging improves the vanishing order

More precisely, if  $\mathcal{A}(a)$  vanishes near  $x_0 = \alpha$  and suppose that  $\alpha \vec{z}_0$  is tangent to  $\Sigma_a$ , where  $z_0 = (\alpha, y_0)$ , then

$$x - \alpha \sim c(y - y_0)^2.$$

Then for  $x > \alpha$  near  $\alpha$ , each vertical line  $\mathcal{P}_x$  intersects  $\Sigma_a$  at two points  $(x, I^-(x)), (x, I^+(x))$ , with

$$|I^+(x) - I^-(x)| \sim \sqrt{x - \alpha}.$$

As  $a(z)$  has vanishing order  $\beta$ , the averaged function  $\mathcal{A}(a)$  is comparable to

$$\mathcal{A}(a)(x) \sim |I^+(x) - I^-(x)| \cdot |x - \alpha|^\beta \sim |x - \alpha|^{\beta + \frac{1}{2}}.$$

# Perspectives

- ▶ We still do not know a simple criterion of the optimal decay rate for the damped wave equation on  $\mathbb{T}^2$  in terms of the damping  $a(x)$ .
- ▶ Rough damping case? For example,  $a(x) = \mathbf{1}_\Omega(x)$ , where  $\Omega$  is strictly convex.
- ▶ High dimensional torus ( $d \geq 3$ ) without GCC: The Schrödinger observability result of Anantharaman-Macià provides a decay rate  $t^{-\frac{1}{2}}$ , but this should not be optimal!

Thank you for your attention!